



SHEET 1 OF 1

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ICO-004 (4594/11)

APPLICANTS: Elsbree et al.

SERIAL NO.: 09/478,775

FILING DATE: January 6, 2000

GROUP: 2174

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## U.S. PATENT DOCUMENTS

| EXAM.<br>INIT. |    | DOCUMENT<br>NUMBER | DATE       | NAME           | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|----------------|----|--------------------|------------|----------------|-------|--------------|-------------------------------|
| 29             | A1 | 5,652,908          | 07/29/1997 | Douglas et al. | 395   | 800          | 06/07/95                      |
|                |    |                    |            |                |       |              |                               |
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## FOREIGN PATENT DOCUMENTS

| EXAM.<br>INIT. |  | DOCUMENT<br>NUMBER | DATE | COUNTRY<br>CODE | CLASS | SUB<br>CLASS | FILING<br>DATE | ABSTRACT<br>ONLY | ENGLISH<br>LANG.<br>(Y/N) |
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## OTHER ART, JOURNAL ARTICLES, ETC.

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EXAMINER *John Doe*

DATE CONSIDERED 10/1/2002

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| <b>FORM PTO - 1449</b><br><br><b>SUPPLEMENTAL INFORMATION<br/>DISCLOSURE STATEMENT</b> |  |  |  | <b>ATTORNEY DOCKET NO.:</b> ICO-004 (4594/11)<br><br><b>APPLICANTS:</b> Elsbree et al.<br><br><b>SERIAL NO.:</b> 09/478,775<br><br><b>FILING DATE:</b> January 6, 2000<br><br><b>GROUP:</b> 2174 |  |  |  |
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| U.S. PATENT DOCUMENTS |                    |           |          |           |              |                               |
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| EXAM.<br>INIT.        | DOCUMENT<br>NUMBER | DATE      | NAME     | CLASS     | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
| 29                    | A2                 | 5,828,674 | 10/27/98 | Proskauer | 371          | 22.1                          |
|                       |                    |           |          |           |              |                               |
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| FOREIGN PATENT DOCUMENTS |                    |      |                 |       |              |                |                  |                          |  |
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| EXAM.<br>INIT.           | DOCUMENT<br>NUMBER | DATE | COUNTRY<br>CODE | CLASS | SUB<br>CLASS | FILING<br>DATE | ABSTRACT<br>ONLY | ENGLISH<br>LANG<br>(Y/N) |  |
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| OTHER ART, JOURNAL ARTICLES, ETC. |  |
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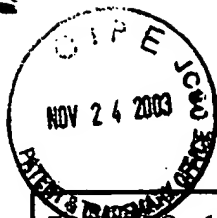
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| EXAMINER <i>John J. Fox</i> | DATE CONSIDERED 11/12/03 |
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SHEET 1 OF 3



FOR PTO - 1449

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO. ICO-004 (4594/11)

APPLICANTS: Elsbree et al.

APPLICATION NO. 09/478,775

FILING DATE: January 6, 2000

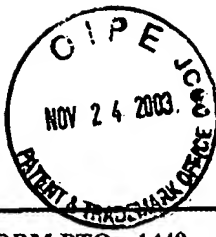
GROUP: 2174

### U.S. PATENT DOCUMENTS

| EXAM.<br>INIT. |     | DOCUMENT<br>NUMBER | DATE     | NAME                 | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|----------------|-----|--------------------|----------|----------------------|-------|--------------|-------------------------------|
| LVN            | A3  | 4,141,066          | 2/20/79  | Keiles               | 364   | 119          | 9/13/97                       |
|                | A4  | 5,671,415          | 9/23/97  | Hossain              | 395   | 701          | 6/1/94                        |
|                | A5  | 5,762,912          | 3/10/98  | Krall, Jr. et al.    | 364   | 550          | 9/6/96                        |
|                | A6  | 5,812,668          | 9/22/98  | Weber                | 380   | 24           | 6/17/96                       |
|                | A7  | 5,815,657          | 9/29/98  | Williams et al.      | 395   | 186          | 4/26/96                       |
|                | A8  | 5,818,447          | 10/6/98  | Wolf et al.          | 345   | 335          | 6/6/96                        |
|                | A9  | 5,828,840          | 10/27/98 | Cowan et al.         | 395   | 200,33       | 8/6/96                        |
|                | A10 | 5,835,914          | 11/10/98 | Brim                 | 707   | 206          | 2/18/97                       |
|                | A11 | 5,850,446          | 12/15/98 | Berger et al.        | 380   | 24           | 6/17/96                       |
|                | A12 | 5,910,895          | 6/8/99   | Proskauer et al.     | 364   | 468,28       | 6/13/97                       |
|                | A13 | 5,938,781          | 8/17/99  | Proskauer            | 714   | 724          | 10/13/98                      |
|                | A14 | 6,032,203          | 2/29/00  | Heidhues             | 710   | 11           | 4/7/97                        |
|                | A15 | 6,131,122          | 10/10/00 | Sampson              | 709   | 227          | 6/1/98                        |
|                | A16 | 6,151,625          | 11/21/00 | Swales et al.        | 709   | 218          | 4/30/99                       |
|                | A17 | 6,389,464          | 5/14/02  | Krishnamurthy et al. | 709   | 220          | 6/27/97                       |

### FOREIGN PATENT DOCUMENTS

| EXAM.<br>INIT. |    | DOCUMENT<br>NUMBER | DATE     | COUNTRY<br>CODE | CLASS | SUB<br>CLASS | FILING<br>DATE | ABSTRACT<br>ONLY | ENGLISH<br>LANG<br>(Y/N) |
|----------------|----|--------------------|----------|-----------------|-------|--------------|----------------|------------------|--------------------------|
| LVN            | B1 | 0 762 273 A1       | 3/12/97  | EP              | G06F  | 9/44         | 9/4/96         | N                | Y                        |
|                | B2 | 0 825 506 A2       | 2/25/98  | EP              | G05B  | 19/418       | 7/14/97        | N                | Y                        |
|                | B3 | 0 266 784 A2       | 5/11/88  | EP              | G05B  | 19/417       | 11/6/87        | N                | Y                        |
|                | B4 | 0 460 308 A1       | 12/11/91 | EP              | G06F  | 11/14        | 6/5/90         | N                | Y                        |



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| FORM PTO - 1449                                      |  |   |          |              | ATTORNEY DOCKET NO. ICO-004 (4594/11)    |           |             |               |                    |
|--|--|---|----------|--------------|--|-----------|-------------|---------------|--------------------|
| SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT |  |   |          |              | APPLICANTS: Elsbree et al.               |           |             |               |                    |
|  |  |   |          |              | APPLICATION NO. 09/478,775               |           |             |               |                    |
|  |  |   |          |              | FILING DATE: January 6, 2000 GROUP: 2174 |           |             |               |                    |
| EXAM. INIT.  |  | DOCUMENT NUMBER   | DATE     | COUNTRY CODE | CLASS                                    | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
| LVN  | B5   | 0 566 283 A2  | 10/20/93 | EP           | G05B                                     | 19/00     | 4/1/93      | N             | Y                  |
|  | B6   | 0 778 688 A2  | 6/11/97  | EP           | H04L                                     | 29/06     | 11/19/96    | N             | Y                  |
|  | B7   | 0 810 499 A2  | 12/3/97  | EP           | G05B                                     | 19/418    | 6/1/93      | N             | Y                  |
|  | B8   | 97/00475  | 1/3/97   | WO           | G06F                                     | 9/46      | 6/13/96     | N             | Y                  |
| OTHER ART, JOURNAL ARTICLES, ETC.                    |  |   |          |              |  |           |             |               |                    |
| EXAM. INIT.  | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) |   |          |              |  |           |             |               |                    |
| LVN  | C1   | B. Anger, Windows NT and 95 OSs, OPC, ActiveX, and RAD Tools Shape Course of OL Software, I & CS Industrial and Process Control Magazine, Vol. 69, November 1996, pgs. 49-54.   |          |              |  |           |             |               |                    |
|  | C2   | E. Bassett, Making the OPC Connection, Start Magazine Vol. 2 No. 4, July/August 1998, downloaded 02/24/99 from <a href="http://www.startmag.com/v2n4opcp002.htm">http://www.startmag.com/v2n4opcp002.htm</a> , 3 pages  |          |              |  |           |             |               |                    |
|  | C3   | M. Edmond, Efforts Advance to Tie Shop-Floor Automation to Back-Office Systems, Start Magazine, Vol. 2 No. 1, January/February 1998, downloaded 02/24/99 from <a href="http://www.startmag.com/v2n1opc1.htm">http://www.startmag.com/v2n1opc1.htm</a> , 6 pages   |          |              |  |           |             |               |                    |
|  | C4   | M. Edmund, 51 Companies were selected as The Hottest Companies in 1998 after months of deliberation by a panel of start's editors, Start Magazine Vol. 2 No. 4, July/August 1998, downloaded 02/24/99 from <a href="http://www.startmag.com/v2n4p030.htm#ICONICS">http://www.startmag.com/v2n4p030.htm#ICONICS</a> , 23 pages |          |              |  |           |             |               |                    |
|  | C5   | ICONICS DataWorX32, OPC Redundancy & Bridging, <a href="http://www.iconics.com/Genesis32/DataworX_99.htm">http://www.iconics.com/Genesis32/DataworX_99.htm</a> , printed 10/15/99.  |          |              |  |           |             |               |                    |
|  | C6   | Microsoft Corporation, COM Technologies Home Page, downloaded 03/12/98 from <a href="http://www.microsoft.com/com/comintro.htm">http://www.microsoft.com/com/comintro.htm</a> , 1 page  |          |              |  |           |             |               |                    |
|  | C7   | National Instruments: "Graphical Programming for PC Automation" Instrupedia 97. Your Interactive Encyclopedia For Instrumentation, vol. 2, no. 1, 1997, pages 6-21 to 6-28, XP-002132205.   |          |              |  |           |             |               |                    |
|  | C8   | National Instruments, OPC - Frequently Asked Questions, downloaded 03/12/98 from <a href="http://www.natinst.com/automation/opc_faq.htm">http://www.natinst.com/automation/opc_faq.htm</a> , 2 pages  |          |              |  |           |             |               |                    |
|  | C9   | OPC Programmers' Connection, History of OPC, downloaded 03/12/98 from <a href="http://www.dspace.dial.pipex.com/town/estate/0n50/history.shtml">http://www.dspace.dial.pipex.com/town/estate/0n50/history.shtml</a> , 2 pages   |          |              |  |           |             |               |                    |
|  | C10  | OPC ToolWorX, downloaded 03/12/98 from <a href="http://www.iconics.com/opc/toolw2.htm">http://www.iconics.com/opc/toolw2.htm</a> , 3 pages  |          |              |  |           |             |               |                    |



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| <b>FORM PTO - 1449</b>                                      |   | <b>ATTORNEY DOCKET NO. ICO-004 (4594/11)</b>   |  |
| <b>SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> |   | <b>APPLICANTS: Elsbree et al.</b>  |  |
|   |   | <b>APPLICATION NO. 09/478,775</b>  |  |
|   |   | <b>FILING DATE: January 6, 2000      GROUP: 2174</b>   |  |
| <b>EXAM. INIT.</b>  | <b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b> |  |  |
| <i>lwn</i>  | C11   | What is OPC?, downloaded 03/12/98 from <a href="http://www.iconics.com/opc/what_opc.htm">http://www.iconics.com/opc/what_opc.htm</a> , 1 page  |  |
|   | C12   | Press Release, ICONICS GraphWorX32 Awarded Prestigious Control Engineering 1997 Editors' Choice Award, February 27, 1998, downloaded 03/12/98 from <a href="http://www.iconics.com/CE97awrd.htm">http://www.iconics.com/CE97awrd.htm</a> , 2 pages |  |
|   | C13   | S. Robinson and A. Krasilshchikov, ActiveX Magic: An ActiveX Control and DCOM Sample Using ATL, May 1997, downloaded 3/12/98 from <a href="http://www.microsoft.com/com/overview.htm">http://www.microsoft.com/com/overview.htm</a> , 11 pages     |  |
|   | C14   | J. Schmoll, Wird OLE for Process Control (OPC) Ein Neuer Industriestandard ?, Automatisierungstechnische Praxis-ATP, Vol. 39, May 1997, pgs. 14-17.  |  |
|   | C15   | Shepard et al., How MFC Does Activex Connections, Dr. Dobbs Journal, Vol. 22, April 1997, pgs. 109-113.  |  |
|   | C16   | A. Shrotriya: "Using Object Linking And Embedding (Ole) Automation In Labview 4.0" National Instruments, Application Note 081, XP-002132206.   |  |
|   | C17   | Vertical Industry Specifications Supported in Windows DNA", <a href="http://www.microsoft.com/Dna/industry/vertical.asp">http://www.microsoft.com/Dna/industry/vertical.asp</a> , printed 10/18/99.  |  |
|   | C18   | Welcome to Iconics, OPC-To-The-Core" <a href="http://www.iconics.com/index2.htm">http://www.iconics.com/index2.htm</a> , printed 10/18/99  |  |
|   | C19   | P. Wiedenbergl, ActiveX Technology Optimizes Process Visualization, Engineering and Automation, Vol. 20, January 1998, pgs. 36-37.   |  |
|   | C20   | International Search Report, PCT/US99/05534, 7 pages, July 6, 1999.  |  |
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| <b>EXAMINER</b> <i>[Signature]</i>                          |   | <b>DATE CONSIDERED</b> <i>11/1/05</i>  |  |

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